

## Attachment A – 115136 O3 Medicaid Drug Rebate (MDR) Requirements Traceability Matrix (RTM)

The Requirements Traceability Matrix (RTM) is used to document and track the project's requirements from the proposal to testing to verify that each requirement has been completely fulfilled. The Contractor will be responsible for maintaining the set of Baseline Solution Requirements directly related to the configuration of the Pharmacy and Drug Rebate Services (PDRS) System. Additions, modifications, and deletions to these requirements will be added and modified throughout the project so it is imperative that a current version of the matrix be maintained at all times.

Bidders must provide an initial RTM as part of the proposal. The Bidder must respond to requirements exactly as they are provided in the RTM. The Bidder must describe in sufficient detail, including the processes and procedures, how the solution will satisfy each requirement and achieve full compliance (i.e., requirement fulfilled 100%).

For each requirement Bidders must complete the following fields:

- Bidding Ability Code – Identifies the extent to which the Bidder’s existing solution satisfies the requirement using the appropriate codes provided in the tables below.
- Bidder’s Response
  - If Ability Code ‘Standard Function’ is selected, describe how the requirement is fully satisfied by the existing solution.
  - If Ability Code ‘Modification Required’ or ‘Enhancement Required’ is selected, describe how the existing solution needs to be modified or enhanced to fully satisfy the requirement. Include an assessment of the severity and impact to the existing solution, the steps and activities needed to modify or enhance the solution, and the estimated duration to complete the modification. Bidder’s using external software that is integrated with the existing solution must identify the software and include in the response.
  - If Ability Code ‘Cannot Meet Requirement’, the Bidder’s Response should not be completed.

Ability Code	Condition	Definition
S	Standard Function	The existing solution fully satisfies the requirement as stated. The existing solution has been previously implemented and certified as applicable.
M	Modification Required	The existing solution does not satisfy the requirement as stated. The existing solution requires a configuration, workflow, or source code modification to fully satisfy the requirement.
E	Enhancement Required	The existing solution does not satisfy the requirement as stated. The existing solution requires an enhancement to implement new configuration, workflow, or source code to satisfy the requirement.
N	Cannot Meet Requirement	The existing solution does not satisfy the requirement as stated and cannot be modified or enhanced to satisfy the requirement.

The RTM is organized by the scope of work and functional area. Following are the definitions for each of the RTM tables:

- RTM ID – identifies the unique scope of work; MDR, PBM, PDL, DUR
- Req. # - the sequential number of each RTM requirement

- ID – the unique id for each functional area within the RTM

## 1. Invoice Management (IVM) Requirements

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	1	IVM-1	Solution must support automated generation of the Drug Rebate Electronic Invoice Files for each drug manufacturer with current rebate information, with the ability to generate and distribute electronically and on paper.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	2	IVM-2	Solution must code the rebate system and collect the rebates for all drugs and durable medical equipment (DME) affected by the supplemental rebate program. Solution must facilitate the coding and collection of supplemental rebates.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	3	IVM-3	Solution must automatically calculate interest due on quarterly rebate amounts per Federal Regulations. Interest must be itemized at the NDC/line level.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	4	IVM-4	Solution must provide the capability to transmit detailed drug claim listings to manufacturers electronically using federal and state defined formats.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	5	IVM-5	Solution must limit invoicing of drugs to those that are manufactured by companies on the CMS listing of manufacturers with drug rebate agreements (except as directed by DHHS).	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	6	IVM-6	Solution must provide the capability to include and exclude claims from the drug rebate invoice cycle based on DHHS defined criteria (e.g. labeler, provider, members, drug, etc.).	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	7	IVM-7	Solution must provide the capability to hold or suspend the issuance of a drug rebate invoice (electronically and paper) based on DHHS defined criteria (e.g. labeler, invoice amount, variances between paid claim information and CMS rebate information, etc.) to allow DHHS to remediate any issues or discrepancies before the invoice is generated	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	8	IVM-8	Solution must accept and store data for physician administered drugs (e.g., J codes), as directed by DHHS for rebate processing.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code

MDR	9	IVM-9	Solution must provide the capability of an automatic default of the unit rebate amount to the most recent CMS known Unit Rebate Amount.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	10	IVM-10	Solution must have the capability to automatically determine the amount of rebate due from each manufacturer, based on quantity of units paid for each NDC (both original and adjusted claims), rebate amounts, data specific interest rate and prior period adjustments, per data received from CMS and DHHS.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	11	IVM-11	Solution must automatically generate delinquent letters to manufacturers who have not paid an invoice or have an outstanding balance.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	12	IVM-12	Solution must maintain and display original and corrected invoice records for reporting purposes.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	13	IVM-13	Solution must maintain all historical drug rebate and invoice information to accommodate prior period adjustment processing.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	14	IVM-14	Solution must generate invoices for provider administered drugs billed on professional and institutional claims.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	15	IVM-15	<p>Solution must meet federal drug rebate format and content requirements and display, at a minimum, the following information on all drug invoices:</p> <ul style="list-style-type: none"> <li>• Manufacturer name</li> <li>• Manufacturer address</li> <li>• Invoice number</li> <li>• Date invoice created</li> <li>• Period covered</li> <li>• OMB Format Number</li> <li>• NDC number</li> <li>• Drug name</li> <li>• Messages</li> <li>• Rebate amount per unit</li> <li>• Total units reimbursed per NDC</li> <li>• Total rebate claimed per NDC</li> <li>• Total reimbursement amount</li> <li>• Correction flag</li> <li>• Grand totals</li> <li>• Third Party Liability (TPL) portion paid</li> <li>• Plan</li> </ul>	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
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MDR	16	IVM-16	Solution must apply basic file validation (e.g., manufacturer data, unit dose and measure conversion) provided by CMS, used in generating rebate invoices, to confirm that the information received is complete and accurate.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	17	IVM-17	Solution must generate and provide electronic files of all rebate invoicing, prior period adjustments and collections by NDC on a quarterly basis in a format defined by DHHS.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	18	IVM-18	Solution must monitor drug rebate information to determine if any URA is zero, and if more than two quarters have a URA of zero, the solution must issue an alert prior to invoice generation to provide sufficient time for research, analysis, and resolution.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	19	IVM-19	Solution must record and track manufacturer disputes of drug rebate invoices at the NDC detail level for encounter and FFS claims.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	20	IVM-20	Contractor must perform all aspects of the formalized dispute resolution process, with direction and approval of DHHS.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	21	IVM-21	Solution must maintain an automated drug rebate tracking system for disputes that identifies and tracks non-responsive manufacturers and allows responding manufacturers to submit disputes electronically.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	22	IVM-22	Contractor must support DHHS in dispute resolution meetings (informal, appeal, judicial review, etc.) with manufacturer or labelers, including providing staff to participate with DHHS in meetings.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	23	IVM-23	Solution must maintain a history of the data used to support DHHS in case of a drug manufacturer dispute over the rebate invoice.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	24	IVM-24	Solution must support manual rebate updating, overriding the CMS quarterly update, for specific drugs and at the manufacturer (labeler) level across all NDCs for that manufacturer.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	25	IVM-25	Solution must perform adjustments when corrections are made to paid claims or when billing errors are discovered through the rebate dispute resolution process.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	26	IVM-26	Solution must allow rebate adjustments and payments to be applied at the NDC level and to report accordingly.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	27	IVM-27	Solution must provide drug rebate adjustment reason code(s) associated to each adjustment.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	28	IVM-28	Solution must link all adjustment activity to the quarter in which the original invoice was generated.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
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MDR	29	IVM-29	Solution must migrate, convert, and manage the transfer of historical rebate data provided by DHHS.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	30	IVM-30	Solution must obtain and maintain CMS quarterly drug rebate information necessary for the generation of accurate rebate invoices. <ul style="list-style-type: none"> <li>• Unit Rebate Amounts</li> <li>• Labeler Information</li> <li>• Unit Rebate Offset Amounts</li> </ul>	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	31	IVM-31	Solution must accept and maintain information provided by DHHS necessary for the generation of accurate rebate invoices: <ul style="list-style-type: none"> <li>• Claims utilization information</li> <li>• Managed Care Organization (MCO) information</li> <li>• Drug description information</li> <li>• Nebraska Medicaid Provider information</li> <li>• National Provider Identification (NPI) information</li> </ul>	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	32	IVM-32	Solution must include the capability to maintain multiple effective date spans for drug manufacturer records to accommodate periods where a manufacturer becomes ineligible to participate in the drug rebate program as identified by CMS or DHHS.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
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MDR	33	IVM-33	Solution must update drug rebate information related to a claim when claim adjustments are provided by DHHS.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	34	IVM-34	Solution must convert between the drug database unit and quantity used for invoicing to those used by CMS.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	35	IVM-35	Solution must maintain a complete history of the claims information provided by DHHS to support the drug rebate invoice process.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	36	IVM-36	Solution must capture and store unit rebate amount (URA) data from CMS then identify, calculate, and apply modifications as needed.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	37	IVM-37	Solution must allow access to claim level drug rebate information on-line.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	38	IVM-38	Solution must generate a report listing all adjustments made to drug rebate invoices, with the capability to be filtered at least by labeler, time period, invoice number, NDC, number of units adjusted, total dollar amount adjusted, and adjustment code.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	39	IVM-39	Solution must generate a report listing claims paid for any terminated drugs, in a specific quarter.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	40	IVM-40	Solution must generate a report listing of all claims paid for a specific labeler, in a specific quarter. A sample of the report must be submitted with the Technical Proposal.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	41	IVM-41	Solution must generate a report listing the total amounts billed, collected, adjusted, interest accrued, credits, disputed, not invoiced, and balance due, for all labelers, broken down by quarter and program. A sample of the report must be submitted with the Technical Proposal.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	42	IVM-42	Solution must generate a report listing current outstanding balances or credits of a labeler code at the invoice level.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	43	IVM-43	Solution must generate a report listing current outstanding balances or credits of a labeler code at the NDC level.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	44	IVM-44	Solution must generate a report listing the total amounts for all labelers that are a specified number of days past due, broken down by invoice number.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	45	IVM-45	Solution must generate a report listing the outstanding balances by labeler for all invoices and PQAS sent in a specified quarter. A sample of the report must be submitted with the Technical Proposal.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
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MDR	46	IVM-46	Solution must generate a report listing total amount of Drug Rebate deposits, by deposit, for a user-requested time period. A sample of the report must be submitted with the Technical Proposal.	Choose an item.
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Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	47	IVM-47	Solution must generate a report of all of the Unit Rebate Offset Amounts that occurred in the quarter plus any changes to previous quarters Unit Rebate Offset Amounts.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	48	IVM-48	Solution must generate report summations of adjustments (credits and debits), rebates billed and payments that occurred in the quarter. Each amount is summed by the quarter where the first invoice was recorded.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	49	IVM-49	Solution must generate a report listing invoices created for a specified invoice quarter or labeler.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	50	IVM-50	Solution must generate a report listing the amount billed, paid, adjusted, and outstanding for all labelers.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	51	IVM-51	Solution must generate a report listing the date the quarterly file was received from CMS, the date the quarterly file was processed by the contractor, the date the invoices were submitted to labelers, and the date the invoices were received by the labelers. A sample of the report must be submitted with the Technical Proposal.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	52	IVM-52	Solution must generate a report listing information on all invoices and prior quarter adjustment statements sent for a specific labeler in a specific time frame (Rebate Claimed, Adjustments, Interest Accrued, Payments, Outstanding Balance), broken down by program group (fee-for-service and encounter pharmacy and professional claims). Interest must be itemized at the NDC/line level.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	53	IVM-53	Solution must generate a report listing of all drugs that were invoiced for, in a specific invoice quarter, but were not on the CMS file (rebateable).	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	54	IVM-54	Solution must generate a report listing of all invoices and prior quarter adjustment statements created in a specified invoice quarter.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	55	IVM-55	Solution must generate a report listing the accrued interest for a specified labeler. Interest must be itemized at the NDC/line level.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	56	IVM-56	Solution must generate a report listing any drug that was invoiced an amount greater than Medicaid paid.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	57	IVM-57	Solution must generate a report to notify labelers of the status of disputes, or how a dispute was resolved.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	58	IVM-58	Solution must generate a report listing all family planning drugs invoiced, including the Medicaid amount paid, and amount invoiced.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code

MDR	59	IVM-59	Solution must generate and maintain an electronic file and report of all paid encounter and pharmacy claims with disputed products (NDCs) for the rebate quarter under review to facilitate dispute research and resolution. A sample of the report must be submitted with the Technical Proposal.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	60	IVM-60	Contractor must provide DHHS with a copy of CMS pharmacy-related communications (e.g., manufacturer or labeler code changes, DESI code changes, product deletions, labeler terminations, new manufacturer or labelers).	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	61	IVM-61	Solution must generate a report listing all instances in which a quarter-to-quarter variation equal to or exceeding fifteen percent (15%) occurs, in terms of either units invoiced or dollars collected, the reason(s) for the variance, and convey this information to DHHS for inclusion with the CMS-64.9R submission.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	62	IVM-62	Solution must generate a report that demonstrates the claims information provided by DHHS is used in each quarterly invoicing cycle, and any exceptions with reasons for not including specific claims in the applicable cycle.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	63	IVM-63	Solution must generate ad-hoc reports against information provided by CMS and DHHS and information generated by the contractor's proposed solution used for drug rebate invoicing, dispute management, and accounting processes.	Choose an item.



Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	64	IVM-64	Solution must allow users to run ad-hoc queries against information provided by CMS and DHHS and information generated by the contractor's proposed solution used for drug rebate invoicing, dispute management, and accounting processes. Users must be able to choose from multiple pre-built defined parameters, singularly or in combination, to generate user-customized results.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	65	IVM-65	Contractor must provide DHHS a report documenting any deficiencies between the contractor's proposed solution and federal and state rebate standards.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	66	IVM-66	Contractor must provide DHHS with white papers that provide an analysis, impact, and applicable options and recommendations for changes identified in Federal rebate standards.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	67	IVM-67	Solution must allow all reports with claims information to be identified and reported based on claim type (e.g. pharmacy, professional) and procedure code (e.g. NDC, J-Code).	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	68	IVM-68	Solution must accommodate leap year processing.	Choose an item.
Bidder's Response:				

## 2. Accounting (ACC) Requirements

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	69	ACC-1	Solution must capture, or convert electronically, the prior quarter adjustment statement (PQAS) and reconciliation of state invoices (ROSIs), perform line item match by drug, link to the invoice and identify disputes when payments are received.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	70	ACC-2	Solution must maintain NDC level information on the drug rebate accounts receivable.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	71	ACC-3	Solution must provide multiple methodologies (e.g., percentage and actual), for allocation of the drug rebates to program funding source(s) and identify drug rebate amounts by benefit program.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	72	ACC-4	Solution must produce accounting reports for outstanding drug rebate debt including interest calculated based on CMS rules. Interest must be itemized at the NDC/line level.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	73	ACC-5	Solution must provide real-time online query and retrieval of interest rates, calculation, accumulation, invoicing, and reporting of interest amounts on outstanding balances related to the drug rebate program.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	74	ACC-6	Solution must systematically establish Accounts Receivables at the Manufacturer or Labeler level for drug manufacturers invoiced for each drug rebate invoice generated by the system.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	75	ACC-7	Contractor must accept and deposit payments from manufacturers, and ensure payments are posted to the Accounts Receivables System and reconciled to the applicable invoice.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code

MDR	76	ACC-8	Solution must provide monthly and quarterly drug rebate reconciliation according to DHHS defined criteria.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	77	ACC-9	Solution must provide reconciliation between the drug rebates received and the amount reported on the CMS-64.9R form for the quarter.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	78	ACC-10	Solution must compare invoices to payment documentation returned by the manufacturer to determine which NDC line items are paid or in dispute.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	79	ACC-11	Solution must provide automated and, on an exception basis, manual processes to reconcile amounts paid to amounts invoiced by NDC line item.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	80	ACC-12	Solution must maintain, display, and report an audit trail of all changes made to invoices and identify invoice records that have been changed to facilitate future processing. The audit trail must minimally include invoice detail before and after the change, date of change, reason for change, and source of change. The audit trail must be accessible to DHHS staff online and in a report format.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	81	ACC-13	Solution must record all receipts of rebate payments, made to the State, distinguishing between federal and state business units and between rebates for FFS and managed care claims (pharmacy and professional) and apply to correct invoice (e.g., appropriate calendar quarter, invoice and manufacturer or labeler, program, NDC).	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	82	ACC-14	Solution must maintain a process to track drug rebate activities (invoicing, adjustments, payments, credits, disputes, sending of letters and requests of information from labelers) by NDC and manufacturer.	Choose an item.

Bidder's Response:

### 3. Customer Support, Documentation, and Training (CDT) Requirements

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	83	CDT-1	Contractor must provide technical assistance to all entities included in the drug rebate process, including CMS, DHHS, Labelers, MCOs, providers, and other contractors.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	84	CDT-2	Contractor must provide DHHS with support, including contractor staff participation in meetings, and documentation if a drug manufacturer dispute, or other rebate-related action, proceeds to an administrative or judicial review.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	85	CDT-3	Contractor must coordinate with appropriate CMS staff and, as necessary, appropriate contacts for pharmaceutical manufacturers when the contractor determines that necessary CMS information pertaining to any given manufacturer's rebate-qualified drug is missing, incomplete, or otherwise inaccurate.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	86	CDT-4	Contractor must respond to all Medicaid drug program related inquiries, including surveys, from drug manufacturers and any entity acting on behalf of a drug manufacturer, as well as any other interested parties as requested by DHHS.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	87	CDT-5	Contractor must provide DHHS with an analysis of CMS pharmacy-related information that specifies if action by DHHS or other contractor is required and, if so, what that action is, by what entity it must be undertaken, and by when it must be completed.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	88	CDT-6	Contractor must support communication with other contractors, regarding the inclusion of applicable claims for rebates invoicing purposes, both state and federal.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	89	CDT-7	Contractor must provide DHHS, contractor staff, and impacted stakeholders (e.g. manufacturers, pharmacies, etc.) training on the contractor's proposed solution that includes the contractor's applications and operational process.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	90	CDT-8	<p>Contractor must provide a draft Training Plan with the proposal. A sample of the Training Plan must be submitted with the Technical Proposal. A final detailed Training Plan must be developed, reviewed and approved by DHHS within 45 calendar days of the contract start date. The approved Training Plan must address the following topics for training activities:</p> <ul style="list-style-type: none"> <li>• Approach and scope (including all audience groups);</li> <li>• Training activity, schedule, duration, types (i.e., in person, online, pre-recorded, real time, interactive, etc.), locations, for various stakeholder groups (e.g. state staff, labelers, providers, etc.) by task;</li> <li>• Assurances for providing timely, appropriate training activities for all stakeholders;</li> <li>• Roles and responsibilities for all stakeholder types;</li> <li>• Training to support the initial implementation of solution;</li> <li>• Post implementation training activities and frequency throughout the life of the contract;</li> <li>• Languages that training will be provided in and basis for verifying accuracy of all translations; and</li> <li>• Identification of standardized and ad hoc training materials.</li> </ul>	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	91	CDT-9	Contractor must collaborate with DHHS to finalize a training schedule that will be approved by DHHS.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	92	CDT-10	Contractor must provide for development and implementation of technical and user training programs.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	93	CDT-11	<p>Contractor must provide Training Plan updates on the following basis:</p> <ul style="list-style-type: none"> <li>• Prior to the scheduled pre-solution Implementation training;</li> <li>• Each time a solution change or upgrade is implemented. The updated and DHHS approved plan must be distributed to solution users prior to the implementation of the system change or upgrade; and</li> <li>• A complete review and update must be performed on an annual basis within thirty (30) days of the start of each contract year. The annually updated, DHHS-approved plan must be distributed or made available to all solution users.</li> </ul>	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	94	CDT-12	<p>Contractor must perform updates to standardized training and communication materials. Updated materials must be reviewed and approved by DHHS on the following basis:</p> <ul style="list-style-type: none"> <li>• At a minimum, on an annual basis in accordance with the training schedule; and</li> <li>• A minimum of ten (10) business days prior to a scheduled training event.</li> <li>• All updates must include a version identifier and date updated notation.</li> </ul>	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	95	CDT-13	Contractor must provide training to all users of the solution prior to the implementation and on an ongoing basis during operations in accordance with the DHHS-approved Training Plan and Materials.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	96	CDT-14	Contractor must provide train-the-trainer sessions for DHHS resources or designated DHHS resources and other staff responsible for training.	Choose an item.



Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	97	CDT-15	Contractor must develop and deliver training in a format and modality as agreed upon with DHHS.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	98	CDT-16	Contractor must utilize a variety of delivery methods for training, including online self-paced training presentations, in-person classroom setting, written materials, webinars, and demonstrations.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	99	CDT-17	Contractor's training materials must be offered in accessible formats consistent with requirements of the Americans with Disabilities Act.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	100	CDT-18	Contractor must provide a training environment that is available to DHHS and must maintain and update the training environment with training data to use during user training.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	101	CDT-19	Contractor must make training records available to be included in the data available for reporting.	Choose an item.
Bidder's Response:				

RTM ID	Req.#	ID	Requirement	Bidding Ability Code
MDR	102	CDT-20	Solution must have system and user documentation to allow for efficient maintenance and operational use of the Contractor's solution. The draft version of system and user documentation must: <ul style="list-style-type: none"> <li>• Be submitted within ninety (90) days of the Go Live date;</li> <li>• Be reviewed and approved by DHHS within timeframes agreed in approved work plan;</li> <li>• Address the remaining documentation requirements within this section.</li> </ul>	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	103	CDT-21	Solution documentation must include a detailed, comprehensive data element dictionary (DED), including, at a minimum: <ul style="list-style-type: none"> <li>• Data element names, numbers, descriptions, and definitions (including length and type).</li> <li>• Valid values with definitions; sources for all identified data elements.</li> <li>• Field calculations.</li> <li>• Table listings for all table(s) elements.</li> <li>• Lists from the DED in multiple sort formats (e.g., data element name, data element number).</li> <li>• Align with industry standards for definition and use as applicable.</li> </ul>	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	104	CDT-22	Solution documentation must include descriptions showing the flow of business processes, business rules, and interface(s) with DHHS.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	105	CDT-23	Solution must provide on-line help for all of its features, functions, and data element fields, as well as descriptions and resolutions for error messages, using help features including indexing, searching, tool tips, and context- sensitive help topics.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	106	CDT-24	All solution documentation must be accessible to users on-line, with a printable version available. Browse and search capabilities must be provided to permit users to easily locate specific information in the documentation (e.g., provider manuals, training, user manuals, etc.).	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	107	CDT-25	The solution user manual documentation must include full mock-ups of all screens/windows and provide narrative descriptions of the navigation features for each screen/window.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	108	CDT-26	All solution documentation must be organized in a format that facilitates updating and maintenance, including version control.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	109	CDT-27	Solution on-line tutorials must provide on-line access to the provider manual and training materials.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	110	CDT-28	Solution documentation must include a user guide for the reporting management and repository feature.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	111	CDT-29	Solution must provide and keep current all system and user documentation at the time changes and upgrades are applied to the system.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	112	CDT-30	Solution must use system design documents that utilize a widely supported modeling language (e.g., UML, BPMN).	Choose an item.
Bidder's Response:				

#### 4. Staffing and Resource (SAR) Requirements

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	113	SAR-1	Contractor must provide implementation and operational staff to support the following functions: <ul style="list-style-type: none"> <li>• Project Management/Support (e.g. requirements, design, development, testing, implementation, etc.)</li> <li>• Development or Configuration Management / Coordination</li> <li>• Testing Management/Coordination</li> <li>• Training Management/Coordination</li> <li>• Certification Management/Coordination</li> </ul>	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	114	SAR-2	Contractor must provide operations support for all system related functionality.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	115	SAR-3	Contractor must provide operational staff to support the following optional functions: <ul style="list-style-type: none"> <li>• Invoicing</li> <li>• Disputes</li> <li>• Reporting</li> <li>• Accounting</li> <li>• Customer Support</li> <li>• Training</li> <li>• System Maintenance</li> </ul>	Choose an item.
Bidder's Response:				

## 5. Turnover and Contract Closeout (TCC) Requirements

RTM ID	Req.#	ID	Requirement	Bidding Ability Code
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MDR	116	TCC-1	At least one hundred eighty (180) days before the end of the Contract, the Contractor must develop and implement a DHHS approved Turnover Plan. The Turnover Plan must be comprehensive detailing the proposed schedule, activities, and resource requirements associated with turnover tasks.	Choose an item.
Bidder's Response:				

RTM ID	Req.#	ID	Requirement	Bidding Ability Code
MDR	117	TCC-2	Contractor must work with the successor Contractor for data conversion activities from the current system to the proposed system.	Choose an item.
Bidder's Response:				

RTM ID	Req.#	ID	Requirement	Bidding Ability Code
MDR	118	TCC-3	Contractor must turnover all Nebraska data, including archived claims, to DHHS and the successor Contractor.	Choose an item.
Bidder's Response:				

RTM ID	Req.#	ID	Requirement	Bidding Ability Code
MDR	119	TCC-4	Contractor must turnover all documents and Repositories, in a format prescribed by DHHS.	Choose an item.
Bidder's Response:				

## 6. Certification Support (CRT) Requirements

RTM ID	Req.#	ID	Requirement	Bidding Ability Code
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PDL	120	CRT-1	Contractor shall ensure the system complies with all CMS and State Certification Requirements and provide evidence of compliance as requested by DHHS. Certification will take place under the certification process identified by CMS at the time of the associated Operational Readiness Review and Certification Review; and requires ongoing reporting of performance indicators and proof of adherence to security standards. DHHS is currently following the CMS streamlined modular certification (SMC) process.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	121	CRT-2	Contractor staff must be available to provide evidence of system functionality, reporting of key performance indicators, and adherence to security standards for each certification review, as required by CMS. Appropriate Contractor staff must also be available to provide ongoing reporting of key performance indicators and adherence to security standards throughout the system maintenance and operations phase.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	122	CRT-3	Contractor staff must develop the system acceptance criteria prior to the development of the system functionality and ensure the development team understands the level of documentation needed to satisfy DHHS requirements.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	123	CRT-4	Contractor staff must assist DHHS with system acceptance testing to determine whether the system satisfies DHHS requirements.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code

MDR	124	CRT-5	Solution must be CMS certifiable through correct design, implementation, documentation, and support.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	125	CRT-6	Contractor must participate as required by DHHS during CMS reviews and other certification meetings.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	126	CRT-7	Contractor must populate a DHHS certification document repository, as each required item/artifact is completed and approved.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	127	CRT-8	Contractor must utilize CMS Medicaid Enterprise Testing Guidance Framework to perform system testing activities.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	128	CRT-9	Contractor must correct all required remediation activities related to certification findings on a schedule to be approved by CMS and DHHS.	Choose an item.



Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	129	CRT-10	Solution must adhere to the CMS MITA framework version 3.0 and later or work with DHHS on a framework that support the modular, interoperable concept, as related to systems, data, use of common data standards, and efficient and reliable data interchange with the existing Nebraska and new Medicaid Systems, which is moving toward a modular system based on Service Oriented Architecture design principles and the MITA framework. For more information on MITA, visit <a href="https://www.medicaid.gov/medicaid/data-and-systems/mita/index.html">https://www.medicaid.gov/medicaid/data-and-systems/mita/index.html</a>	Choose an item.

Bidder's Response:

## 7. Technical (TNL) Requirements

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	130	TNL-1	The solution must use a rules engine-like technology where possible to ensure that the business rules are separate from the programming code and the rules can be configured and maintained by businesspeople. The solution system should be configurable as opposed to being hardcoded. The system needs to be data-driven so that business parameters and code lookup tables can be easily updated without changing the application program logic.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	131	TNL-2	The solution must allow publishing data exports in industry-standard formats (XML, JSON, CSV, Excel) to support data upload into the State Data Warehouse tools and systems including platforms like Snowflake and Tableau where appropriate. The solution must export system queries into other common formats to be used externally (e.g., Excel, CSV).	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
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MDR	132	TNL-3	Solution must comply with accessibility requirements described in 45 CFR 85 and with State of Nebraska accessibility requirements located at <a href="http://www.nitc.state.ne.us/standards/index.html">http://www.nitc.state.ne.us/standards/index.html</a> .	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	133	TNL-4	<p>The Solution must provide a comprehensive auditing framework that provides the following features</p> <ul style="list-style-type: none"> <li>• Maintain a record of all changes made to any item within the system (e.g., data element, business rule, process control, software program), the ID of the person or process that made the change, before and after images of the affected data records, and the date and time the change was made.</li> <li>• Archive and retain audit data based on state retainage requirements</li> <li>• Allow DHHS users to view, filter, and sort the system audit trail, and export audit data in a standardized format (e.g., XML, CSV, ASCII, and RTF).</li> <li>• Provide a configurable option to allow the audit of usage by screen, by data on the screen, and by the user, based on specified timeframes.</li> <li>• provide an audit trail or log which identifies all access to PHI</li> <li>• Retain Audit trail or log data used to identify access to protected health information for a minimum of ten (10) years</li> </ul>	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	134	TNL-5	<p>The Contractor must describe their maintenance approach for their software product/solution that ensures the following:</p> <ul style="list-style-type: none"> <li>• All hardware, software, and communication components installed for use by state staff are compatible with the State's currently supported versions of the Microsoft Operating System, Microsoft Office Suite, and the Chrome Browser, and current technologies for data interchange.</li> <li>• The Solution is browser agnostic and must be maintained, updated, and supported with a cadenced and planned schedule. DHHS currently uses Chrome as the browser standard. For provider and client-facing systems, the State of Nebraska requires that the systems support industry-standard browsers such as Chrome, Firefox, Safari, and Microsoft Edge. The Solution should support the current versions of these browsers with minimum backward compatibility for two older browser versions. The Solution roadmap should include plans to maintain compatibility with future browser versions. If a mobile application is offered, it should support both Apple and Android operation systems with at least the current OS plus the prior two versions.</li> <li>• Maintain all hardware and software products required to support the Solution at the most current to -2 version, including patches, fixes, upgrades, and releases for all software, firmware, and operating systems. Any security patches must be maintained at the most current level after thorough testing.</li> <li>• Keep current all software version upgrades within 6 months of release or with approval from State for a modified schedule.</li> </ul>	Choose an item.

			<ul style="list-style-type: none"> <li>Maintain a product roadmap (updated at a minimum on an annual basis) that provides details regarding planned updates, the timing of product versions/releases, end of support (EOS), and end of life (EOL) for current and past versions. The roadmap should contain information regarding third-party products that the Solution utilizes.</li> </ul>	
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	135	TNL-6	Solution must provide context sensitive help (situational clarification and support associated with process specific steps), to support user activities (e.g., maintenance activities).	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	136	TNL-7	<p>The solution must operate and must meet the following SLA's</p> <ul style="list-style-type: none"> <li>The solution must be available 99.5% of the time during State business days.</li> <li>The solution must notify in advance, within one (1) business day, DHHS and other contractors when the system will be unavailable due to maintenance.</li> <li>The solution must return to operations (RTO) within 1 business day following an incident (e.g., disaster, power loss, etc.).</li> <li>The solution must provide for a two (2) hour recovery point objective (RPO) for manual updates, and as necessary to support the RTO requirement.</li> <li>The off-site system must be operational within twenty-four (24) hours following a service disruption.</li> <li>The System online access should have a response time of less than 2 seconds for queries and less than 5 seconds for inserts and updates.</li> </ul>	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	137	TNL-8	<p>Solution must have a Business Continuity and Disaster Recovery (BC/DR) Plan to ensure recovery of all system components in the event of a disaster. The draft version of the BC/DR Plan must:</p> <ul style="list-style-type: none"> <li>Be submitted with the proposal;</li> <li>Be reviewed and approved by DHHS within timeframes agreed in approved work plan.</li> <li>Be compliant with Federal Guidelines identifying every resource that requires backup and to what extent backup is required.</li> </ul>	Choose an item.

			<ul style="list-style-type: none"> <li>• The BC/DR Plan must, at a minimum, address the following elements: <ul style="list-style-type: none"> <li>○ Establish the purpose and scope of the BC/DR Plan;</li> <li>○ Acknowledge and ensure compliance with applicable HIPAA and HITECH standards;</li> <li>○ Describe the approach and strategy to disaster recovery and business continuity;</li> <li>○ Describe how the plan will meet the MDR specific RTO and RPOs</li> <li>○ Establish roles and responsibilities for managing disaster recovery and business continuity;</li> <li>○ Identify risk areas;</li> <li>○ Describe protocols for managing disaster recovery and business continuity (during and after);</li> <li>○ Describe the approach to ongoing testing and validation of the BC/DR Plan;</li> <li>○ Describe the frequency of updates. At a minimum, the plan must be updated annually, or as needed more frequently.</li> </ul> </li> </ul>	
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
PDL	138	TNL-9	The contractor must perform an annual disaster recovery test demonstrating the efficacy of the BC/DR plan and provide an after-action report (AAR) of the test results to DHHS. The report must detail, the scope of the test, what was a success, what failed, what can be improved, and a plan to address those items. Full data restore capability must be demonstrated with no loss of data. The contractor must comply with and assist DHHS in updating and testing existing Security and Disaster Recovery/Business Resumption Plans.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	139	TNL-10	Solution must provide real time monitoring and alerting for all system components for performance, errors, warnings, and capacity. Also, the Contractor must submit a system performance report with actual system availability and response times to DHHS monthly. Report should calculate based on 24x7 hours less approved maintenance windows. Reports should calculate to the minute. Downtime should be calculated from a full solution level with component calculations optional.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	140	TNL-11	Contractor must understand the business workflows, rules and data flows to design, configure and develop efficient integration mechanism with the other systems in the DHHS Enterprise.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	141	TNL-12	<p>The solution integration framework must be standards-based and must meet the following</p> <ul style="list-style-type: none"> <li>All data exchanges including inbound and outbound interfaces shall align with the MITA framework and comply with industry standards where applicable (e.g., National Information Exchange Model (NIEM), National Institute of Standards and Technology (NIST), HIPAA-compliance standards, Health level 7 (HL7), Fast Healthcare Interoperability Resources (FHIR)). (164)</li> <li>The solution must support the use of XML/JSON standards to ensure interoperability. (159)</li> <li>The solution must comply with the state's existing data interface standard(s) for automated electronic intrastate interchanges and interoperability.</li> <li>The solution must support multiple web services standards, including web services, specifications, and adapters (WSDL, WS-*, SOAP, REST, UDDI, ODATA), support standard databases such as MS SQL, SQL Server, Oracle and support integration transfer protocols such as FTPS, SFTP, HTTPS, MSMQ).</li> </ul>	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	142	TNL-13	Solution must monitor all integrations and interfaces. The solution must identify errors in the integrations (batch, web services, APIs) and immediately notify the required system(s) of the specific errors, where possible.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	143	TNL-14	<p>The solution must provide a comprehensive framework for exchanging data with other modules and systems and should meet the following</p> <ul style="list-style-type: none"> <li>The Solution must provide multiple mechanisms of integrating with the existing and planned Nebraska DHHS systems</li> <li>The architecture must enable the system to exchange data efficiently, effectively, and appropriately with other participants in the DHHS enterprise</li> <li>The solution must have the capability to implement RESTFUL API and/or SOAP-based web services for real-time integration with both State and external systems. The State prefers API first based integration approach for future planned systems.</li> <li>When using APIs, the solution must support using the State API Gateway when interfacing within the agency and with intrastate agencies</li> </ul>	Choose an item.

			<ul style="list-style-type: none"> <li>The solution must support the update of data integration points with the Nebraska DHHS Systems as DHHS systems are upgraded or replaced</li> <li>The solution must use technology-neutral interfaces that localize and minimize the impact of new technology insertion or replacement.</li> </ul>	
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Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	144	TNL-15	The Contractor must design, develop, and maintain interfaces that support integration with other systems. Each Application Program Interface (API) or batch interface and components that will interface with the other modules and the Systems Integration Services will be documented using the State-provided ICD template. The Interface Control Document (ICD) which will include data layout documentation, data mapping crosswalk, inbound/outbound capability, and frequency of all interfaces. As new interfaces are required, ICDs for those will be created and shared with, and reviewed and approved by DHHS.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	145	TNL-16	Solution must support the use of existing data interface layouts to minimize disruption to existing systems and operations. Solution must support transferring data files using secure protocols such as SFTP. The Solution however must also support data transfer using REST APIs (Application Programming Interfaces) and implement industry standards for interfaces where existing data exchanges to do not exist.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	146	TNL-17	<p>The Solution must develop and deliver data models (conceptual, logical, and physical) that include mapping of information exchange with external organizations. The data model must meet the following requirements</p> <ul style="list-style-type: none"> <li>Conceptual Data Model must depict the business area high-level data and general relationships for intrastate exchange.</li> <li>Logical Data Model (LDM) must support the identification of data classes, attributes, relationships, standards, and code sets for intrastate exchange</li> <li>The physical data model must document data objects (for example, tables, columns, primary and foreign keys) and their relationships</li> <li>Documentation must include layouts for all files and database tables including relationships, tables with fields, and keys</li> </ul>	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	147	TNL-18	The Department prefers cloud-based hosting for the solution. The delivery of the solution/services should be seamless with the hosting solution providing the flexibility to integrate other solutions for security and regulatory purposes in the future and be cost-effective and scalable.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	148	TNL-19	<p>Contractor must implement, host, and manage access to the following system environments according to federal and state standards (e.g., interoperability, privacy, security, etc.):</p> <ul style="list-style-type: none"> <li>• Development</li> <li>• Test</li> <li>• Training</li> <li>• Production</li> </ul> <p>Solution must utilize these environments to allow components to be added or replaced quickly and non-disruptively.</p>	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	149	TNL-20	The Contractor must continuously monitor the health of the infrastructure according to the performance expectations outlined in the contract to ensure minimal impact on business operations. The Contractor must report, set alerts and reminders proactively to any degradation of the performance of the infrastructure	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
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MDR	150	TNL-21	<p>Solution must retain the following files and data for the term of the contract.</p> <ul style="list-style-type: none"> <li>• CMS Unit Rebate Amounts</li> <li>• CMS Labeler Information</li> <li>• CMS Unit Rebate Offset Amounts</li> <li>• State Claims utilization information</li> <li>• State Managed Care Organization (MCO) information</li> <li>• State Drug description information</li> <li>• State Medicaid Provider information</li> <li>• State National Provider Identification (NPI) information</li> <li>• System Reference Files</li> <li>• CMS Utilization Data</li> <li>• State Supplemental Utilization Data</li> <li>• Quarterly Invoices</li> </ul>	Choose an item.
Bidder's Response:				

## 8. Privacy and Security (PVS) Requirements

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	151	PVS-1	Solution must comply with all applicable laws and regulations regarding privacy, including but not limited to the Health Insurance Portability and Accountability Act (HIPAA), DHHS IT Security Policy, NITC Standards and Guidelines, and the provisions contained in the Business Associate Agreement Provisions – Attachment I.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	152	PVS-2	<p>Solution must meet and Contractor must document compliance with NIST SP 800-53 and/or NIST SP 800-171, SP 800-53A security and privacy standards, and 508 compliance/VPAT testing through the completion of a System Security Plan (SSP) per Attachment K prior to Go-Live. Contractor must provide a Plan of Action and Milestones (POA&amp;M) for any items not fully compliant.</p> <ul style="list-style-type: none"> <li>• Compliance is subject to a qualified independent security controls assessment prior to solution implementation.</li> <li>• Security and privacy control requirements may be met by confirmed attestation of compliance (e.g., FedRAMP, SOC).</li> <li>• The Contractor will be responsible for engaging a qualified independent security controls assessment contractor. DHHS shall approve the selection of the security assessment contractor.</li> <li>• Bidder must submit a sample of the SSP with the Technical Proposal.</li> </ul>	Choose an item.



Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	153	PVS-3	Solution must document the data sharing and security agreement for any interfaces with external information systems (e.g., solution to outside of the state's authorization boundary). The State recommends the use of CMS Interconnection Security Agreement (ISA) Template.  <a href="https://www.cms.gov/Research-Statistics-Data-and-Systems/CMS-Information-Technology/InformationSecurity/Info-Security-Library-Items/Interconnection-Security-Agreement-ISA-Template">https://www.cms.gov/Research-Statistics-Data-and-Systems/CMS-Information-Technology/InformationSecurity/Info-Security-Library-Items/Interconnection-Security-Agreement-ISA-Template</a>	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	154	PVS-4	Solution must provide an efficient and secure method for viewing and exchanging information (CMS files, invoices, emails, messages, etc.) with DHHS, CMS, Labelers, and other contractors.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	155	PVS-5	Authorized user(s) must have access to user activity history and other management functions, including but is not limited to log-on approvals/ disapprovals and log search and playback.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	156	PVS-6	Solution must be hosted in an environment that has a Federal Risk and Authorization Management Program (FedRAMP) Certification, FedRAMP Risk Assessment that indicates compliance, has a documented NIST 800-53, or is Statement on Standards for Attestation Engagements (SSAE-16) SOC 1 Type 2 and SOC 2 Type 2 compliant.	Choose an item.

Bidder's Response:

### 9. Project Management and Implementation (PMI) Requirements

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	157	PMI-1	Contractor must utilize, maintain, and facilitate an industry recognized project management process, tool, and documentation, such as the Project Management Institute's (PMI's) Project Management Body of Knowledge (PMBOK) or Scaled Agile Framework in order to complete the scope of work. Contractor must follow agreed upon project management lifecycle process, and work with DHHS project management resources to align activities and resources during the implementation and operations phases of the contract.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	158	PMI-2	<p>Contractor must develop and maintain a Project Management Plan (PMP). The PMP minimally must include the following:</p> <ul style="list-style-type: none"> <li>• Communications Plan</li> <li>• Change Management Plan</li> <li>• Staffing Management Plan</li> <li>• Quality Management Plan</li> <li>• Risk Management Plan</li> <li>• Issue Management Plan</li> <li>• Work Breakdown Structure</li> </ul> <p>The PMP plan must be reviewed and approved by DHHS staff, and any identified adjustments will be made prior to signoff. A sample of the PMP plan must be submitted with the Technical Proposal.</p>	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	159	PMI-3	Contractor must utilize, maintain, and facilitate Risk Management Process, supporting documentation, and tools (such as a RAID log) to manage project issues and risks.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	160	PMI-4	Contractor must participate in all levels of project governance as necessary, to include, but is not limited to the steering and operating committees, and change control board.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	161	PMI-5	Contractor must participate in and capture notes from all necessary project meetings. The contractor must be responsible for creation and dissemination of all project meeting agendas, minutes, and necessary documentation.	

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	162	PMI-6	Contractor must facilitate a project initiation kickoff meeting with key stakeholders and create a kickoff meeting presentation targeted to specific scope and audiences. The presentation must be submitted to and approved by DHHS.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	163	PMI-7	Contractor must provide all deliverables and/or documentation as identified in the project work plan.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	164	PMI-8	<p>Contractor must utilize, maintain, and facilitate a deliverable development and maintenance process. The contractor must take the following into account in the process:</p> <ul style="list-style-type: none"> <li>• Deliverables must be delivered in a consistent format that includes change history, version control, and approval page.</li> <li>• The size and complexity of the deliverables must be taken into account when determining the length of time available for review cycles. Collaboration with DHHS staff for review turnaround expectations is required.</li> <li>• Any change control processes must be taken into consideration.</li> <li>• Contractor facilitated walkthroughs of draft deliverables must be used when requested.</li> <li>• DHHS staff capacity to support simultaneous review of numerous deliverables.</li> </ul>	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	165	PMI-9	<p>Contractor must submit and update a project status report to support the steering and operating committee meetings. The report must contain the following at a minimum:</p> <ul style="list-style-type: none"> <li>• Current project work plan and schedule with percentage complete for milestones and tasks.</li> <li>• Overall completion status.</li> <li>• All past due tasks or milestones and the plan(s) for completing them.</li> <li>• Planned tasks and activities for the next 30 days.</li> <li>• Identification of any staffing issues or changes.</li> <li>• Current status on all identified issues and mitigation proposed.</li> <li>• Current status on all identified risks and mitigation steps.</li> <li>• Current status on testing and metrics.</li> <li>• Current status on performance standards.</li> </ul>	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	166	PMI-10	<p>Contractor must develop and maintain a detailed project work plan (PWP) that includes milestones, tasks, planned start and finish dates, actual start and finish dates, work hours, and assigned resources. The PWP must be developed and maintained in Microsoft Project, and also be represented visually (e.g. Gantt Chart). The contractor must provide DHHS the PWP in Adobe PDF and Microsoft Excel formats. A sample of the PWP must be submitted with the Technical Proposal.</p>	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	167	PMI-11	Contractor must utilize the DHHS project repository for maintaining project documentation and deliverables. DHHS currently uses Microsoft SharePoint and will provide access to the contractor's staff. The contractor must provide Microsoft SharePoint training for the contractor's staff as necessary.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	168	PMI-12	Contractor must develop and maintain an Implementation Plan (IP) that includes the pre-Go Live, Go Live, and post-Go Live activities and implementation progress reporting. Post-Go Live activities must include an online end user survey to solicit feedback on the implementation results. Contractor must resolve customer friction points as identified through customer inquiries. The contractor must submit the draft IP to DHHS for review and approval.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	169	PMI-13	Contractor must develop and maintain a Communication Plan (CP). The contractor must submit the draft CP to DHHS for review and approval.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	170	PMI-14	Contractor must utilize, maintain, and facilitate an industry recognized systems development lifecycle process and documentation in order to implement Solution. Documentation must include environment configuration, code migration and deployment processes.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
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MDR	171	PMI-15	Contractor must utilize, maintain, and facilitate an industry recognized requirements management process, tool, and supporting documentation to elicit, document, and maintain the contractor's solution requirements.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	172	PMI-16	Contractor must provide all mutually agreed upon implementation work products and deliverables identified in the PWP to DHHS staff for review and approval and follow the agreed upon deliverable review process. Work products and deliverables include requirements, design, development, testing, pre-implementation (e.g. training, data conversion, etc.), go live, and post-implementation.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	173	PMI-17	Contractor must develop and maintain a Test Management Plan that minimally includes roles and responsibilities, planning and execution activities, testing methodology and approach, progress reporting, defect management, and testing tool(s). The Test Management Plan must include the testing phases (e.g. unit, system, integration, performance, user acceptance, end to end testing) and activities required for each environment and interface. The Test Management Plan must align with the CMS Testing Framework.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	174	PMI-18	Contractor must develop and maintain an Integration Plan that details the milestones, tasks, schedule, and dependencies for establishing interfaces with the Contractor's solution.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
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MDR	175	PMI-19	Contractor must develop and maintain Automated Testing Scripts that can be executed when modifications and configuration changes are made to the Solution. Contractor must conduct regression testing on modification and configuration changes. Contractor must execute prior to Go Live to demonstrate successful execution of scripts, and during operations. Contractor will review and update Automated Testing Scripts, as necessary	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	176	PMI-20	Contractor must utilize, maintain, and facilitate a Change Control Process and supporting documentation (e.g. change request form, change log) to manage changes throughout implementation. Jira is an acceptable tool.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	177	PMI-21	Contractor must develop and execute a Data Migration Plan for migrating the DHHS existing application data to the Solution. The plan must minimally include roles and responsibilities, planning and execution activities, data migration and validation approach, and reporting,	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	178	PMI-22	Contractor must utilize, maintain, and facilitate a Performance Standard Management Process and documentation to monitor, manage, and report on the contractor's adherence to the contract performance standards.	Choose an item.
Bidder's Response:				

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	179	PMI-23	Solution must support data integrity through system controls for software program changes and promotion to production.	Choose an item.

Bidder's Response:

RTM ID	Req. #	ID	Requirement	Bidding Ability Code
MDR	180	PMI-24	Contractor must conduct an Implementation Assessment that includes an analysis of the state's existing solution and operational processes, and must provide a detailed plan for transitioning data and operations, including process changes, to the contractor's solution. The Transition Plan must track DHHS state of readiness to transition to the Contractor's solution and operational processes.	Choose an item.

Bidder's Response: